

6. Are you a current member in good standing of C.A.F.P. ? Yes () No ()

7. C.A.F.P. Membership Number : _____(Mandatory requirement)

8. Gross Annual Income \$ _____
Compensation method : Fee for Service Yes { } No { }
Commission Yes { } No { }
Combination of both Yes { } No { }

9. Percentage of income derived from :
Life Sales _____% ; **Mutual Fund Sales** _____% ; **Securities Sales** _____%
% from Other - please describe _____

10. a) Do you have clients that are domiciled outside of Canada? Yes { } No { }

b) If yes, where are they domiciled? _____

c) What percentage of your fees emanate from these clients? Please provide percentage for each country.

11. Which of the following licenses do you hold: *DD/MM/YY*
Life Insurance Agent () Date First Licensed _____
Mutual Fund Sales () Date First Licensed _____
Securities () Date First Licensed _____
No Licenses ()

12. Do you utilize the CAFP six step planning process when consulting for your clients ?
Yes { } No { }

13. Do you use an IDA Form "2" New Client Agreement or equivalent with all clients? Yes { } No { }
*** Failure to have such agreement on file will eliminate coverage for activities for that client.**

14. Total premium and method of payment with application _____

DECLARATION

The undersigned declares that all statements made in the Application and the information contained in documents submitted with it are true. Signing of this document does not bind the Applicant to complete the insurance, but it is agreed that the Application shall be the basis of the contract should a policy be issued. By signing this Application the Applicant acknowledges that the Insurer reserves the right to amend coverage or pricing or decline to offer coverage based on the information contained herein.

Members Signature

Date

PREMIUMS

These are the annual premiums for your program.

**Please note: Provincial Sales Tax is applicable to Ontario and Quebec residents.
Ontario Sales Tax is 8%, Quebec Sales Tax is 9%.**

CAFP PROFESSIONAL LIABILITY PREMIUMS – 2002/2003

• No Licences - Fee for Service	\$ 515
• Life licence only	
* British Columbia and Nova Scotia	\$ 815
• Life licence only	
* All other provinces	\$1,225
• License for Life and Mutual Funds	\$1,295
• License for Life, Mutual Funds & Securities	\$3,350
• Mutual Funds licence only	\$1,295
• Securities licence only	\$3,350
• License for Securities and Life	\$3,350
• License for Securities and Mutual Funds	\$3,350

Rates are subject to change without notice
“PREMIUM IS FULLY EARNED AT THE TIME OF BINDING”

Failure to pay the premium for the licence(s) required and/or held will eliminate coverage for all activities.

Please circle the appropriate premium and return your payment with the completed application. Thank you

Payment options:

- Full payment by cheque made payable to **Hallmark BRB Insurance Brokers Ltd.**
- By Master Card or by Visa:
 - Master Card # _____
 - Visa Card # _____
 - Card Holder's name _____
 - Card expiry date _____
 - Authorized signature _____